

6.2 Demographic Characteristics

Table 8 summarizes the demographic characteristics of all patients that were entered in the study. The study was composed of 107 adults (89.9%) and 12 pediatric (10.1%) SeraSeal treated patients, with an age range of 20 days to 92 years old and a mean of 52.12 years. The patients enrolled in the three surgical groups: cardiovascular, orthopedic and general surgery, no significant difference with gender ($P=0.1096$), significant difference in age between the 3 surgical groups ($P=0.018$), but no significant difference in age and gender in each surgical group ($P=0.5842$).

Table 8 Demographic Characteristics

| Demographic Characteristic | Treatment Group | | | |
|----------------------------|------------------------------|--------------------|--------------------------|-------------------|
| | Adult (n=107) | | Pediatric (n=12) | |
| Sex | <u>M</u> | <u>F</u> | <u>M</u> | <u>F</u> |
| | 56 (52.3%) | 51 (47.7%) | 6 (50.0%) | 6 (50.0%) |
| Age (yrs) | | | | |
| 0-2 | - | - | 2 (16.7%) | 3 (25.0%) |
| 2-10 | - | - | 3 (25.0%) | 0 (0.0%) |
| 11-17 | - | - | 1 (8.3%) | 3 (25.0%) |
| 18-20 | 3 (2.8%) | 1 (0.9%) | - | - |
| 21-30 | 3 (2.8%) | 3 (2.8%) | - | - |
| 31-40 | 9 (8.4%) | 9 (8.2%) | - | - |
| 41-50 | 8 (7.5%) | 3 (2.8%) | - | - |
| 51-60 | 12 (11.2%) | 5 (4.6%) | - | - |
| 61-70 | 8 (7.5%) | 12 (11.0%) | - | - |
| 71-80 | 5 (4.7%) | 15 (13.8%) | - | - |
| 81-90 | 7 (6.5%) | 2 (1.8%) | - | - |
| 91-92 | 1 (0.9%) | 1 (0.9%) | - | - |
| Weight (lb) | (n=56) | (n=51) | (n=6) | (n=6) |
| mean \pm SD | 157.25 \pm 20.73 | 138.20 \pm 15.66 | 52.00 \pm 34.76 | 72.00 \pm 38.72 |
| Range | 110-202 | 112-172 | 4-99 | 15-110 |
| Height (in) | (n=56) | (n=51) | (n=6) | (n=6) |
| mean \pm SD | 66.7 \pm 2.47 | 62.35 \pm 2.25 | 42.71 \pm 13.30 | 47.00 \pm 16.93 |
| Range | 67-71 | 58-67 | 16-54 | 23-63 |
| <u>Vital Signs</u> | <u>Blood Pressure</u> | | <u>Pulse Rate</u> | |
| Normal | | | | |
| Male | 42 (51.2%) | | 46 (52.3%) | |
| Female | 40 (48.8%) | | 42 (47.7%) | |
| Abnormal | | | | |
| Male | 14 (56.0%) | | 10 (52.6%) | |
| Female | 11 (44.0%) | | 9 (47.4%) | |

| <u>Laboratory</u> | <u>Blood Level</u> | <u>Clotting Time</u> |
|-------------------|--------------------|----------------------|
| Normal | | |
| Male | 51 (57.3%) | 40 (74.1%) |
| Female | 38 (42.7%) | 14 (25.9%) |
| Abnormal | | |
| Male | 5 (27.8%) | 16 (30.2%) |
| Female | 13 (72.2%) | 37 (69.8%) |

Ref: Demographic Data, section 12.2.3, page 100.

7. Efficacy Evaluation

7.1 Data Sets Analyzed

71.1 Number and Distribution of Patients

A total of 238 patients participated in this study at 5 centers. All of the centers were in Lima, Peru. There were no withdrawal of patients. The number of patients from each study center and by treatment group is shown in Table 9.

Table 9 Number in Each Enrolled (E) Group and Who Completed (C) Surgical Treatment at Each Center

| <u>Center No.</u> | <u>Site</u> | <u>Treatment Group</u> | | | |
|-------------------|--|------------------------|-----------|----------------------|----------|
| | | <u>SeraSeal</u> | | <u>Cauterization</u> | |
| | | <u>E</u> | <u>C*</u> | <u>E</u> | <u>C</u> |
| 1 | Edgardo Rebagliati Martins National Hospital | 65 | 65 | 65 | 65 |
| 2 | Guillermo Almenara National Hospital | 13 | 13 | 13 | 13 |
| 3 | Jose Casimiro Ulloa Emergency Hospital | 6 | 6 | 6 | 6 |
| 4 | FAP-Peruvian Air Force Hospital | 20 | 20 | 20 | 20 |
| 5 | Military Hospital of Peru | 3 | 3 | 3 | 3 |

* Completed treatment is defined as no other surgical modality to control bleeding at the targeted surgical site(s).

Ref: Individual Patient Data Listing, section 12.4, page 119.

7.2 Demographic and Other Baseline Characteristics

Table 10 summarizes baseline characteristics regarding the treatment group.

Table 10 Baseline Characteristics

| Baseline Characteristics | Treatment Group | | | |
|---|------------------|-------------|------------------|------------|
| | Adult (N=107) | | Pediatric (N=12) | |
| | <u>M</u> | <u>F</u> | <u>M</u> | <u>F</u> |
| | 56 (52.3%) | 51 (46.8%) | 6 (50%) | 6 (50%) |
| Pre-Op | Mean (SD) | | | |
| HBG (g/dl) | 13.90±1.56 | 12.43±1.02 | 15.02±1.83 | 14.62±0.46 |
| HCT (%) | 41.58±4.71 | 36.56±5.66 | 46.37±2.71 | 43.72±1.16 |
| PT (sec) | 11.58±0.22 | 11.68±0.26 | 11.68±0.19 | 11.42±0.17 |
| PTT (sec) | 34.56±12.91 | 32.26±12.54 | 32.26±12.54 | 23.67±0.82 |
| Anticoagulant Therapy (Heparin) Number | 16 (13.4%) | 12 (10.1%) | 0 (0.0%) | 0 (0.0%) |
| Dose (U/Kg) Mean (SD) | 303.1±112.1 | 248.9±110.8 | 0.00 | 0.0 |
| Associated Illness | | | | |
| Arterial Hypertension | 16 (13.4%) | 11 (9.2%) | 0 (0.0%) | 0 (0.0%) |
| Diabetes Mellitas | 3 (2.5%) | 2 (1.7%) | 0 (0.0%) | 0 (0.0%) |
| Depression | 3 (2.5%) | 8 (6.7%) | 0 (0.0%) | 0 (0.0%) |
| Targeted Organ | | | | |
| heart | 15 (5.9%) | | 0 (0.0%) | |
| arteries | 22 (8.9%) | | 0 (0.0%) | |
| brain | 4 (1.6%) | | 0 (0.0%) | |
| vertebra | 8 (3.1%) | | 0 (0.0%) | |
| thyroid | 2 (0.8%) | | 0 (0.0%) | |
| oral | 3 (1.2%) | | 0 (0.0%) | |
| parotid | 1 (0.4%) | | 0 (0.0%) | |
| sinuses | 2 (0.8%) | | 0 (0.0%) | |
| radical neck | 5 (2.0%) | | 3 (1.2%) | |
| leg amputation | 1 (0.4%) | | 1 (0.4%) | |
| hip | 2 (0.8%) | | 0 (0.0%) | |
| femur | 2 (0.8%) | | 0 (0.0%) | |
| liver | 16 (6.3%) | | 1 (0.4%) | |
| spleen | 6 (2.4%) | | 0 (0.0%) | |
| gastro | 22 (8.7%) | | 3 (1.2%) | |
| pancreas | 3 (1.2%) | | 0 (0.0%) | |
| gall bladder | 8 (3.1%) | | 0 (0.0%) | |
| breast | 1 (0.4%) | | 0 (0.0%) | |
| ovary | 1 (0.4%) | | 0 (0.0%) | |
| skin-muscle | 85 (33.5%) | | 0 (0.0%) | |
| lung | 1 (0.4%) | | 0 (0.0%) | |
| tongue | 1 (0.4%) | | 0 (0.0%) | |
| bone | 31 (12.2%) | | 4 (1.6%) | |

Ref. Individual Patient Data Listing, section 12.4.

7.3 Efficacy Results and Tabulation of Individual Patient Data

7.3.1 Analysis of Efficacy

The primary efficacy measurement defined by the protocol stated that at specified bleeding sites, known for moderate to severe blood loss, SeraSeal would achieve hemostasis 25% faster than cauterization in 90% of the total surgical cases.

The time to hemostasis ranged from 0.03 – 10 minutes, with a mean of 1.59 minutes for SeraSeal, compared to a 2 -90 minute range for cauterization, with a mean of 31.22 minutes, statistical significance ($P<0.0001$) and nearly 20 times faster for clot formation over the control, Table 11. Children treated with SeraSeal significantly achieved hemostasis sooner than children in the control group, with a mean 1.77 minute clotting time compared to a mean 51.69 minutes ($P<0.0001$). Significant time to hemostasis was also observed in SeraSeal treated heparinized patients with a mean 0.72 min. (± 0.29) compared to a mean 10.00 min (± 8.10) in heparinized patients treated by cauterization ($P<0.0001$). In every SeraSeal surgical case, hemostasis occurred after only one application of the hemostatic agent.

A secondary efficacy measurement was blood loss. The mean blood loss for SeraSeal treated patients was 184.30 ml, with a range of 1-2,000 ml, compared to a mean of 583.19 ml and a 100-3,000 ml range in the cauterization treatment group with a statistical significance of ($P<0.001$). The mean blood loss in SeraSeal treated children was 42.92 ml (± 70.60) significantly less compared to a mean 329.17 ml (± 219.98) children treated in the control group ($P=0.0003$). There was significant less blood loss in SeraSeal treated heparinized patients, with a mean 347.20 ml (± 141.38) to a mean 720.00 ml (± 272.34) in heparinized subjects treated by standard surgical methods.

Twenty six patients (24.4%) were on Heparin, with 14 men having a mean dosage of 302.86 U/Kg and 248.91 U for 11 women. There was no significant difference between the two groups ($P=0.2320$). The mean Heparin dosage was 279.12 U/Kg (± 110.21). Comparing normal treated patients to Heparin treated patients, the mean SeraSeal dosage was 4,039 IU and 5,076 IU, respectively, statistically significant between the two groups ($P=0.0156$).

There were no therapeutic breaks of SeraSeal to cauterization, achieving 100% success in obtaining hemostasis greater than 25% faster than the employment of cauterization.

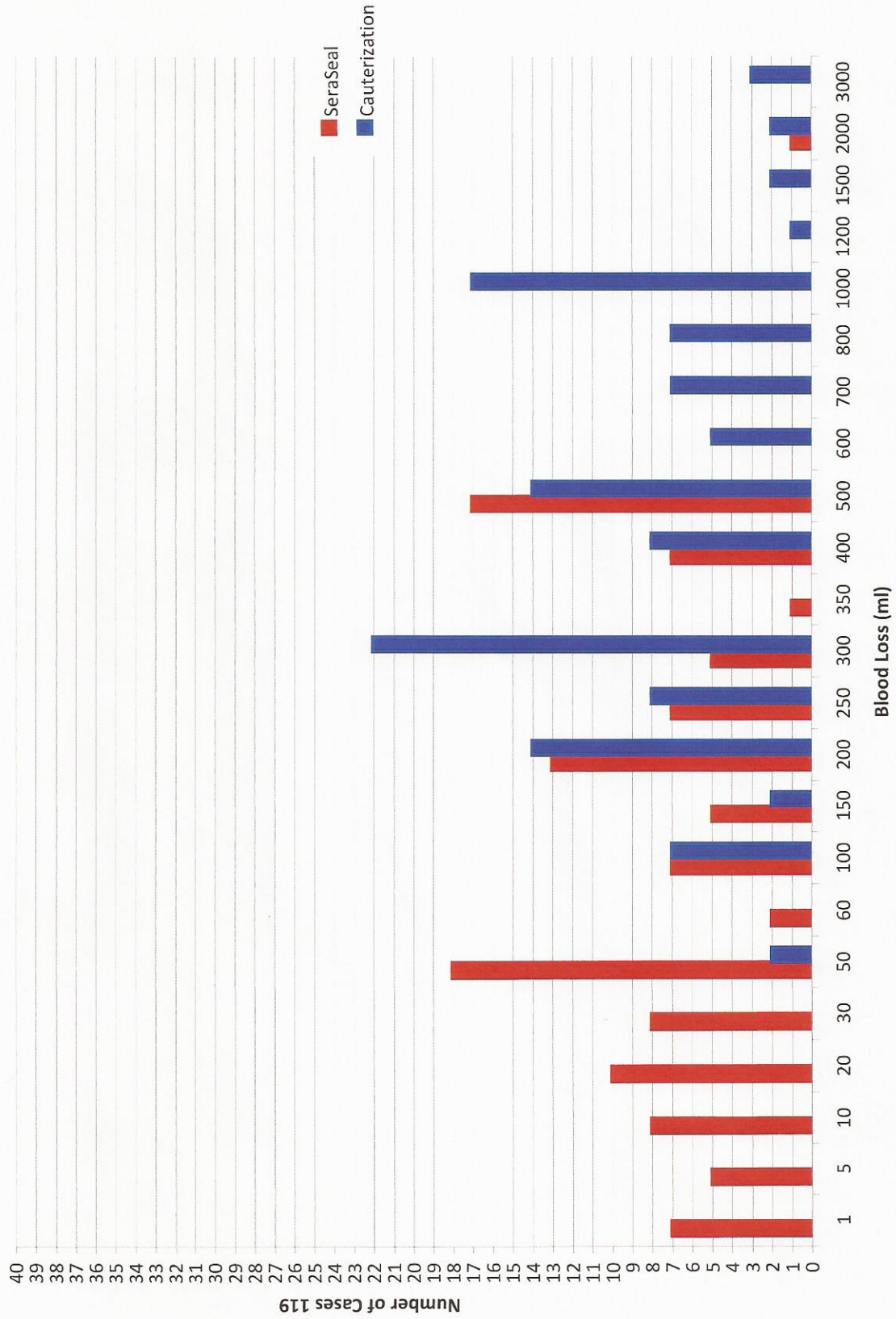
Table 11 Efficacy of Seraseal vs. Cauterization

| Primary Efficacy | SeraSeal | | | | Cauterization | | | |
|--|-----------|---------|-----------|-------|---------------|----------|-----------|---------|
| Total Time to Hemostasis (min) | | | | | | | | |
| n | 119 | | | | 119 | | | |
| mean | 1.59 | | | | 31.22 | | | |
| SD (±) | 2.32 | | | | 19.72 | | | |
| range | 0.03 – 10 | | | | 2 – 90 | | | |
| Blood Loss (ml) | | | | | | | | |
| mean | 199.66 | | | | 595.63 | | | |
| SD (±) | 245.29 | | | | 527.64 | | | |
| range | 0-2,000 | | | | 50-30,000 | | | |
| Time to Hemostasis of Adult and Pediatric and by Gender (min) | SeraSeal | | | | Cauterization | | | |
| | Adult | | Pediatric | | Adult | | Pediatric | |
| | M | F | M | F | M | F | M | F |
| n | 56 | 51 | 6 | 7 | 56 | 51 | 6 | 6 |
| mean | 1.69 | 1.44 | 1.33 | 2.14 | 28.66 | 28.82 | 48.33 | 54.57 |
| SD (±) | 2.64 | 2.21 | 0.82 | 1.34 | 17.48 | 17.96 | 20.41 | 28.98 |
| range | 0.03-10 | 0.03-10 | 1-3 | 1-5 | 2-60 | 5-60 | 10-60 | 20-90 |
| Total Time to Hemostasis of Heparin Patients (min) | SeraSeal | | | | Cauterization | | | |
| n | 24 | | | | 24 | | | |
| mean | 0.73 | | | | 10.42 | | | |
| SD (±) | 0.29 | | | | 8.13 | | | |
| range | 0.03 – 1 | | | | 2 – 35 | | | |
| Time to Hemostasis of Heparin Patients by Gender (min) | SeraSeal | | | | Cauterization | | | |
| | M | | F | | M | | F | |
| n | 14 | | 10 | | 14 | | 10 | |
| mean | 0.75 | | 0.70 | | 10.36 | | 10.50 | |
| SD (±) | 0.32 | | 0.26 | | 10.00 | | 4.97 | |
| range | 0.03-1 | | 0.5-1 | | 2-35 | | 5-20 | |
| Secondary Efficacy | SeraSeal | | | | Cauterization | | | |
| Total Blood Loss (ml) | | | | | | | | |
| n | 119 | | | | 119 | | | |
| mean | 184.30 | | | | 583.19 | | | |
| SD (±) | 243.04 | | | | 541.63 | | | |
| range | 1 – 2,000 | | | | 100 – 3,000 | | | |
| Blood Loss of Normal Patients by Gender (ml) | SeraSeal | | | | Cauterization | | | |
| | Adult | | Pediatric | | Adult | | Pediatric | |
| | M | F | M | F | M | F | M | F |
| n | 56 | 51 | 6 | 6 | 56 | 51 | 6 | 6 |
| mean | 216.23 | 184.96 | 75.00 | 70.83 | 653.57 | 546.60 | 375.00 | 283.33 |
| SD (±) | 299.61 | 183.85 | 92.03 | 4.92 | 635.24 | 472.30 | 311.05 | 68.31 |
| range | 1-2,000 | 1-500 | 10-250 | 5-50 | 50-3,000 | 50-2,000 | 250-400 | 200-400 |

| Total Blood Loss of Heparin Patients (ml) | | SeraSeal | | Cauterization | |
|---|----|----------|------------|---------------|-----------|
| n | | 24 | | 24 | |
| mean | | 370.00 | | 766.67 | |
| SD (±) | | 133.97 | | 251.37 | |
| range | | 30 - 500 | | 250 - 1,200 | |
| Blood Loss of Heparin Patients by Gender (ml) | | SeraSeal | | Cauterization | |
| | | M | F | M | F |
| n | | 14 | 10 | 14 | 10 |
| mean | | 378.57 | 358.00 | 771.43 | 760.00 |
| SD (±) | | 110.44 | 167.25 | 249.39 | 267.50 |
| range | | 200-500 | 30.-500 | 500-1,200 | 300-1,000 |
| Number of SeraSeal Applications | | Adult | | Pediatric | |
| No. Application/No. Case | | M | F | M | F |
| | | (n=56) | (n=51) | (n=6) | (n=6) |
| 1 | 2 | (3.6%) | - (0.0%) | - (0.0%) | - (0.0%) |
| 2 | 10 | (17.9%) | 13 (25.55) | 2 (33.3%) | 2 (33.3%) |
| 3 | 22 | (39.3%) | 24 (47.0%) | 2 (33.3%) | 1 (16.7%) |
| 4 | 9 | (16.1%) | 7 (13.7%) | 2 (33.3%) | - (0.0%) |
| 5 | 7 | (12.5%) | 6 (11.8%) | - (0.0%) | 1 (16.7%) |
| 6 | 2 | (3.6%) | 1 (2.0%) | - (0.0%) | 2 (33.3%) |
| 7 | 3 | (5.4%) | - (0.0%) | - (0.0%) | - (0.0%) |
| 8 | - | (0.0%) | - (0.0%) | - (0.0%) | - (0.0%) |
| 9 | - | (0.0%) | - (0.0%) | - (0.0%) | - (0.0%) |
| 10 | 1 | (1.8%) | - (0.0%) | - (0.0%) | - (0.0%) |
| Attempts/Bleeds | | 1 (n=56) | 1 (n=51) | 1 (n=6) | 1 (n=6) |

Ref: Individual Response Data, appendix 12.2.4, page 103.

Graph 1 Efficacy: Blood Loss - SeraSeal vs. Cauterization



Graph 3 Efficacy: Time to Hemostasis - SeraSeal vs. Cauterization

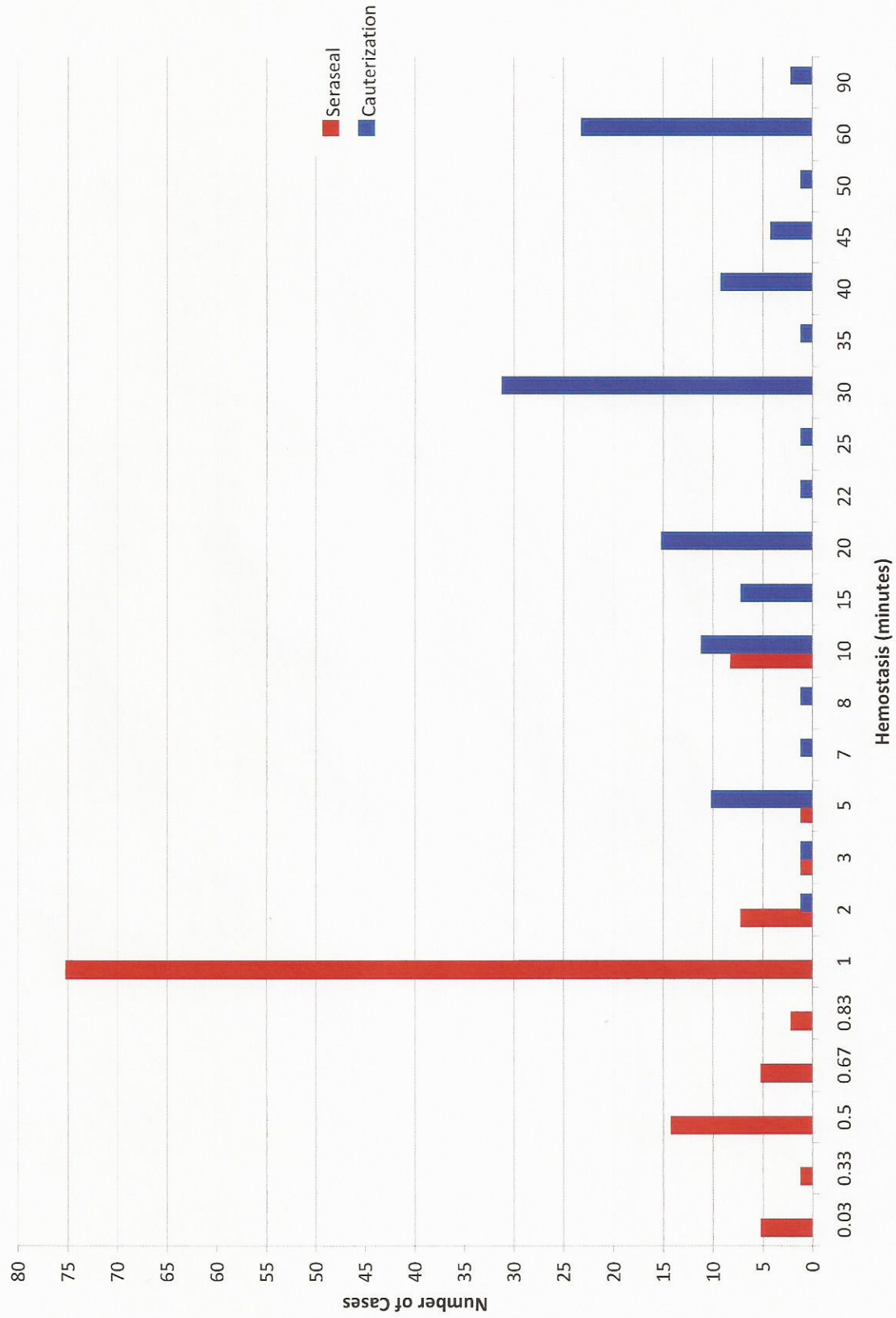


Table 12 Heparin Patients Treated by SeraSeal vs. Cauterization

| Hemostasis (min) | Treatment Group | | | |
|------------------|-----------------|-------|---------------|-------|
| | SeraSeal | | Cauterization | |
| | n | % | n | % |
| 0.03 | 1 | 4.17 | 0 | 0.00 |
| 0.5 | 11 | 45.83 | 0 | 0.00 |
| 1 | 12 | 50.00 | 0 | 0.00 |
| 2 | 0 | 0.00 | 1 | 4.17 |
| 3 | 0 | 0.00 | 1 | 4.17 |
| 5 | 0 | 0.00 | 8 | 33.33 |
| 7 | 0 | 0.00 | 1 | 4.17 |
| 8 | 0 | 0.00 | 1 | 4.17 |
| 10 | 0 | 0.00 | 6 | 25.00 |
| 15 | 0 | 0.00 | 3 | 12.5 |
| 20 | 0 | 0.00 | 1 | 4.17 |
| 30 | 0 | 0.00 | 1 | 4.17 |
| 35 | 0 | 0.00 | 1 | 4.17 |

Ref: Efficacy Response Data Listing by Dosage, section 12.2.7, page 114.

Graph 2 Heparin Patients Treated by SeraSeal vs. Cauterization

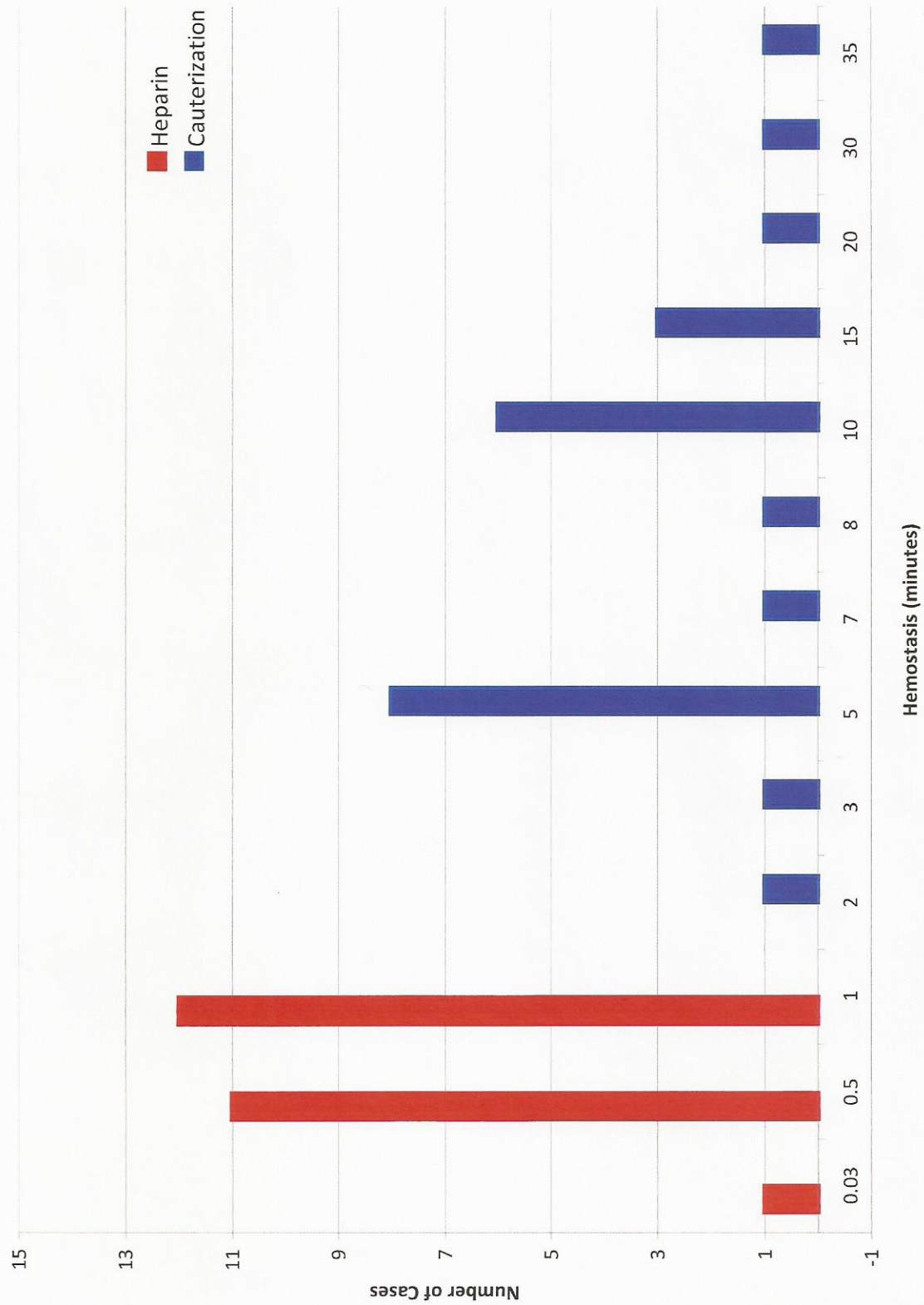


Table 13 SeraSeal Treated Normal Patients vs. SeraSeal Treated Heparinized Patients

| Hemostasis (min) | Treatment Group | | | |
|------------------|------------------------|----------|-----------------------|----------|
| | Normal (n=95) | | Heparin (n=24) | |
| | n | % | n | % |
| 0.03 | 3 | 3.16 | 1 | 4.17 |
| 0.33 | 2 | 2.10 | 0 | 0.00 |
| 0.5 | 3 | 3.16 | 11 | 45.83 |
| 0.67 | 5 | 5.26 | 0 | 0.00 |
| 0.83 | 2 | 2.10 | 0 | 0.00 |
| 1 | 64 | 67.37 | 12 | 50.00 |
| 2 | 7 | 7.37 | 0 | 0.00 |
| 3 | 1 | 1.05 | 0 | 0.00 |
| 10 | 8 | 8.42 | 0 | 0.00 |

Ref: Efficacy Response Data Listing by Dosage, section 12.2.7, page 114.

Graph 4 SeraSeal Treated Normal Patients vs. SeraSeal Heparinized Patients

